

SWANSON & BRATSCHUN, L.L.C.

DENVER TECHNOLOGICAL CENTER
8400 EAST PRENTICE AVENUE
SUITE 200
ENGLEWOOD, COLORADO 80111
TELEPHONE (303) 793-3333
FACSIMILE (303) 793-3433

PATENTS, COPYRIGHTS
AND TRADEMARKS

October 12, 1994

Theresa A. Brown
Synergen, Inc.
1885 33rd Street
Boulder, CO 80301

Re: U.S. Patent Application No. 08/182,183
corresponding to PCT/US92/07888
GLIAL DERIVED NEUROTROPHIC FACTOR
Our Ref: SYN225/C4

Dear Theresa:

I have enclosed a copy of the recorded Assignment with
reference to the above-captioned matter for your files.

Very truly yours,



Rosemary P. Kellogg

RPK:tec
Enclosure
synergen\225\brown49.ltr /tec

OMB No. 0694-0011 (exp. 4/94)

Tab settings  

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

H. Lin Jack Lile
 Franklin D. Collins Susan Bektesh
 Daniel H. Doherty

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

(2.) Name and address of receiving party(ies)

Name: Synergen, Inc.

Internal Address: SAME AS BELOW

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 7/22/94; 7/28/94; 8/11/94

Street Address: 1885 33rd Street

City: Boulder State: CO ZIP: 80301

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/182,183

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rosemary P. Kellogg

Internal Address: SAME AS BELOW

Street Address: 8400 E. Prentice Avenue,

Suite 200

City: Englewood State: CO ZIP: 80111

(6.) Total number of applications and patents involved:

(7.) Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8.) Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

090 BA 08/31/94 08182183

DO NOT USE THIS SPACE

1 581

40.00 CK

91853800

(9) Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rosemary P. Kellogg

Name of Person Signing

Signature

August 18, 1961
Date

Total number of pages including cover sheet, attachments, and document:

**Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231**

ASSIGNMENT

WHEREAS, the undersigned inventors (referred to, collectively, if more than one, as "Assignor") have made an invention entitled GLIAL DERIVED NEUROTROPHIC FACTOR for which a PCT application was filed September 17, 1992 as PCT/US92/07888, and subsequently nationalized in the United States with an application for United States Letters Patent as application serial number 08/182,183, and

WHEREAS, the Synergen, Inc., a Delaware corporation ("Assignee") having a place of business at 1885 33rd Street, Boulder, Colorado, 80303, desires to acquire all right, title and interest in and to the above identified invention and application.

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and

REEL
7107
FRAME
0215

each of them, if more than one) has signed below.

Date: 7/22/94

[Signature]
(signature)
Leu-Fen H. Lin
(print name)
Address: 5875 Orchard Creek Lane
Boulder, CO. 80301

STATE OF COLORADO)
COUNTY OF Boulder)

this 22nd day of July, 1994, The foregoing instrument was acknowledged before me by Leu-Fen H. Lin.

Witness my hand and official seal.

My commission expires: 09/08/97

[Signature]
Notary Public

Date: _____

(signature)
Franklin D. Collins
(print name)
Address: _____

STATE OF _____)
COUNTY OF _____)

this _____ day of _____, 19____, The foregoing instrument was acknowledged before me by Franklin D. Collins.

Witness my hand and official seal.

My commission expires: _____

Notary Public

7107
0216

each of them, if more than one) has signed below.

Date: 7/22/94

[Signature]
(signature)
Leu-Fen H. Lin
(print name)
Address: 5875 Orchard Creek Lane
Boulder, CO. 80301

STATE OF COLORADO)
COUNTY OF Boulder)

this 22nd day of July, 1994, The foregoing instrument was acknowledged before me by Leu-Fen H. Lin.

Witness my hand and official seal.

My commission expires: 09/08/97

[Signature]
Notary Public

Date: 8-11-94

[Signature]
(signature)
Franklin D. Collins
(print name)
Address: 29356 Laro Dr.
Agoura Hills, CA 91301

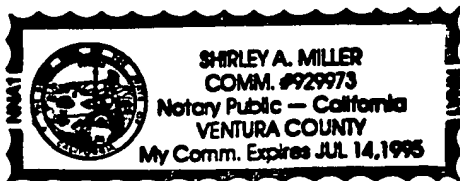
STATE OF California)
COUNTY OF Ventura)

this 11th day of August, 1994, The foregoing instrument was acknowledged before me by Franklin D. Collins.

Witness my hand and official seal.

My commission expires: July 14, 1995

[Signature]
Notary Public



7107
0217
REEL
FRAME

Date:

7/22/94

Daniel H. Doherty
(signature)

Daniel H. Doherty

(print name)

Address: 719 Ithaca Dr
Boulder CO 80303

STATE OF

COLORADO

COUNTY OF

BOULDER

this 22nd day of July, 1994, by Daniel H. Doherty.

Witness my hand and official seal.

My commission expires:

09/08/97
[Signature]
Notary Public

Date:

(signature)

Jack Lile

(print name)

Address: _____

STATE OF _____

COUNTY OF _____

this _____ day of _____, 19____, by Jack Lile.

Witness my hand and official seal.

My commission expires: _____

Notary Public

7107

0218

WELL

FRANK

Date: _____

(signature)
Daniel H. Doherty
(print name)
Address: _____

STATE OF _____)
COUNTY OF _____)

The foregoing instrument was acknowledged before me
this _____ day of _____, 19____, by Daniel H. Doherty.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 7/28/94

(signature) *Jack Lile*
Jack Lile JACK Lile
(print name)
Address: 947 Casitas Vista Rd
Ventura, CA
93001

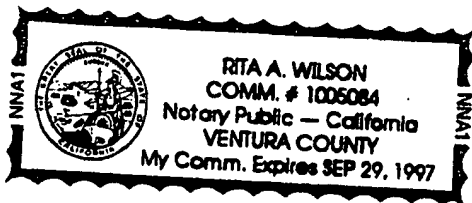
STATE OF CALIFORNIA)
COUNTY OF Ventura)

The foregoing instrument was acknowledged before me
this 28th day of July, 19____, by Jack Lile.

Witness my hand and official seal.


My commission expires: Sep 29, 1997

Rita A. Wilson
Notary Public



7107
0219
NEEL
FRAME

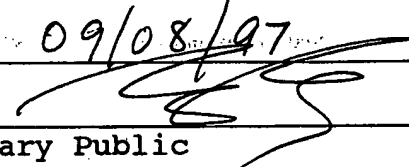
Date: 7/22/94


(signature)
Susan Bektesh
(print name)
Address: 3344 34th
BOULDER CO 80301

STATE OF COLORADO)
COUNTY OF BOULDER)

this 22nd day of July, 1994, The foregoing instrument was acknowledged before me by Susan Bektesh.

Witness my hand and official seal.

My commission expires: 09/08/97

Notary Public

synergen\225\assign.c4 /tec

RECORDED
PATENT AND TRADEMARK
OFFICE
AUG 22 1994

7107
0220
11/11/94



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 09/19/94
TO:

N02B

ROSEMARY P. KELLOGG
8400 E. PRENTICE AVENUE
SUITE 200
ENGLEWOOD, CO 80111

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: LIN, LEU-FEN H. DOC DATE: 07/22/94

ASSIGNOR: COLLINS, FRANKLIN D. DOC DATE: 08/11/94

ASSIGNOR: DOHERTY, DANIEL H. DOC DATE: 07/22/94

ASSIGNOR: LILE, JACK DOC DATE: 07/28/94

ASSIGNOR: BEKTESH, SUSAN DOC DATE: 07/22/94

RECORDATION DATE: 08/22/94 NUMBER OF PAGES 007 REEL/FRAME 7107/0214

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
SYNERGEN, INC.
1885 33RD STREET
BOULDER, COLORADO 80301

7107/0214 PAGE 0002

SERIAL NUMBER	8-182183	FILING DATE	05/23/94
PATENT NUMBER		ISSUE DATE	00/00/00

Keith Boode
EXAMINER/PARALEGAL

ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION